



Max von Frantzius

Avocat au Barreau de Luxembourg (L. IV)
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16, rue Gabriel Lippmann
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Specific Industry Competencies:

Setting-up and structuring of Luxembourg based Companies and regulated and un-regulated Investment Vehicles (expert focus on AIFMD Issues, CSSF licences etc), Cross-border Corporate Law and M&A transactions, Legal Opinions and all related corporate matters & mostly cross-border Luxembourg-DACH transactions

Education:

- **Certificat d'Aptitude à la Profession d'Avocat (CAPA)**, French Final Bar exam to become a French lawyer, Paris (France)
- **2nd State Exam** in Law, County Court (*Oberlandesgericht*), Cologne (Germany)
- **1st State Exam** in Law, University of Bonn (Germany)

Languages:

German, French, English

Nationalities: German/French

Military service with the German Air Force: Current grade: **Hauptmann** (Reserve Captain)

Curriculum vitae

Lawyer with more than 30 years of experience, admitted to the **Bars of Luxembourg (L.4)**, **Rechtsanwalt (Berlin)** and **Avocat à la Cour de Paris**

Cursus:

- **MvF Law** since 1/2025 (independent law firm with a huge network of clients and independent colleagues)
- **CM Law** (Andersen Global Cooperative law firm) 12/2019 – 12/2024.
- **Conducting Officer Compliance with LRI Invest S.A. (APEX Group)**, 6/2022 – 1/2023
- **PwC Legal S.à r.l.** from 12/2018 to 11/2019.
- **PwC Luxembourg (Regulatory / Advisory Services)** from 10/2014 to 12/2018.
- **Member of the Management Board** ("Dirigeant"/Conducting Officer) of **Oppenheim Asset Management Services S.à r.l** from 2004 through 2014.
- **Arendt & Medernach**, Investment Fund Practice (Claude Kremer) from 2002 to 2004.

Paris:

- Law firm **Paul Hastings**, M&A, investment law and corporate finance from 1998 to 2002.
- Law firm **Gide, Loyrette & Nouel**, M&A, RE & corporate finance from 1997 to 1998.

Newark (NJ, greater New York, USA):

- **Prudential Financial Inc.** Legal Counsel and non-executive Board Member to the firm's offshore investment funds based in Luxembourg, South- Korea, Taiwan and Singapore.
- Structuring (M&A) of the **Luxembourg based Joint Venture Oppenheim Pramerica** between Prudential Financial Inc. (USA) and the German private exclusive bank Sal. Oppenheim jr. & Cie. in Cologne (Germany)
- Permanent presence in Newark (NJ, USA) for **daily business with Prudential's businesspeople** in charge of their daily work on Prudential's Off-shore Funds and to work on the Joint Venture "Oppenheim Pramerica" (Pramerica was Prudential Financials' marketing brand in Europe)

Luxembourg / Newark (NJ, greater New York, USA):

- **Prudential Financial Inc.**, Newark (NJ, USA), appointment as permanent Luxembourg based European counsel for all "Offshore funds" outside the USA appointed by the new joint venture Oppenheim Pramerica.
- Structuring and setting-up (incl. Supervisory Authority (CSSF) approval processes) of numerous **PE/RE and venture capital investment funds** as well as structuring, and the establishing of numerous **General Partners** in Luxembourg **starting 2005**.
- Structuring and setting-up of numerous **Reserved Alternative Investment Funds (RAIFs)** for institutional clients since 2016. Regulated (UCI Part II) first open ended shipping fund for container and petrol boats, with CSSF and Ernst & Young approval (for the valuation model) and establishment of the first investment Fund in Luxembourg dealing with second hand jet-engines from leased aircrafts. Establishment of the worldwide first Fund of Funds (*Dachfonds*) under the new UCITS 3 regime in 2002.
- Profound knowledge and experience in all **Luxembourg corporate matters** (Board Meetings, setting-up and maintenance of SPVs etc.) including **cross-border M&A issues**.

Professional Credentials for the firms I worked for (Paris, Cologne, Luxembourg, New York and Berlin):



PwC Legal



Andersen in Germany (Berlin)